Caterina Kieran Form 4 January 05, 2012

**OMB APPROVAL FORM OMB** 3235-0287 UNITED STATES SECURITIES AND EXCHANGE Number: **COMMISSION** January 31, Expires: 2005 Washington, D.C. 20549 Estimated average Check this burden hours per box if no STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP response... 0.5 longer subject **OF SECURITIES** to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or may continue. Section 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer Symbol Caterina Kieran GAMCO INVESTORS, INC. ET (Check all applicable) AL [GBL] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner X\_ Officer (give Other (specify (Month/Day/Year) title below) ONE CORPORATE CENTER 01/03/2012 Co-Chief Accounting Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting RYE, NY 10580 Person (City) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Ownership Indirect (Instr. 3) Code Disposed of (D) Beneficially Form: Beneficial (Instr. 8) (Instr. 3, 4 and 5) Owned Direct (D) (Month/Day/Year) Ownership Following or Indirect (Instr. 4) Reported (I) (A) (Instr. 4) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Class A Common 01/03/2012 A 2,500 <u>(1)</u> 5,000 D Α Stock Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to SEC 1474 the collection of (9-02)information contained in this form are not required to respond unless the form displays a currently

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. DiNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Caterina Kieran ONE CORPORATE CENTER RYE, NY 10580

Co-Chief Accounting Officer

### **Signatures**

/s/ Kieran 01/05/2012 Caterina

\*\*Signature of Reporting Date Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares represent restricted stock that was awarded to the Reporting Person pursuant to the Issuer's Stock Award and
(1) Incentive Plan. Thirty percent of these shares vest after three years from the date of the award and the remaining seventy percent vest after five years from the date of the award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.