APPLE INC Form 4 October 17, 2012

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* RAFAEL BETSY

(First) (Middle)

(7:-

1 INFINITE LOOP

(Street)

(Ctata)

2. Issuer Name and Ticker or Trading Symbol

APPLE INC [AAPL]

3. Date of Earliest Transaction (Month/Day/Year) 10/15/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify below)

VP, Corporate Controller

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### CUPERTINO, CA 95014

(City)	(State)	(Zip) Tabl	le I - Non-I	- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	10/15/2012		M	1,875	A	<u>(1)</u>	7,243	D			
Common Stock (2)	10/15/2012		F	872	D	\$ 634.76	6,371	D			
Common Stock	10/15/2012		M	1,500	A	<u>(1)</u>	7,871	D			
Common Stock (2)	10/15/2012		F	697	D	\$ 634.76	7,174	D			
Common Stock	10/15/2012		M	1,000	A	<u>(1)</u>	8,174	D			

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Common Stock (2)	10/15/2012	F	465	D	\$ 634.76	7,709	D
Common Stock	10/15/2012	M	875	A	<u>(1)</u>	8,584	D
Common Stock (2)	10/15/2012	F	407	D	\$ 634.76	8,177	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		of Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(1)</u>	10/15/2012		M	1,875	(3)	(3)	Common Stock	1,875	<u>!</u>
Restricted Stock Unit	<u>(1)</u>	10/15/2012		M	1,500	<u>(4)</u>	<u>(4)</u>	Common Stock	1,500	9
Restricted Stock Unit	<u>(1)</u>	10/15/2012		M	1,000	<u>(5)</u>	(5)	Common Stock	1,000	<u>!</u>
Restricted Stock Unit	<u>(1)</u>	10/15/2012		M	875	<u>(6)</u>	<u>(6)</u>	Common Stock	875	

# **Reporting Owners**

CUPERTINO, CA 95014

Reporting Owner Name / Address	Kelationships							
• 0	Director	10% Owner	Officer	Other				
RAFAEL BETSY								
1 INFINITE LOOP			VP, Corporate Controller					

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Deletionship

## **Signatures**

/s/ Gene D. Levoff, Attorney-in-fact for Betsy E. Rafael

10/17/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one (1) share of common stock. This transaction represents the settlement of vested restricted stock units in shares of common stock.
- (2) Shares withheld by Registrant to satisfy minimum statutory withholding requirements on vesting of restricted stock units.
- (3) This restricted stock unit award was granted on October 12, 2008 and vests in semi-annual installments over four years, with the first installment vesting on April 15, 2009.
- (4) This restricted stock unit award was granted on September 26, 2009 and vests in semi-annual installments over four years, with the first installment vesting on April 15, 2010.
- (5) This restricted stock unit award was granted on October 10, 2011 and vests in semi-annual installments over four years, with the first installment vesting on April 15, 2012.
- (6) This restricted stock unit award was granted on October 5, 2010 and vests in semi-annual installments over four years, with the first installment vesting on April 15, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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