

APPLE INC

Form 3

January 14, 2008

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Forstall Scott J

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/04/2008

3. Issuer Name **and** Ticker or Trading Symbol
APPLE INC [AAPL]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

1 INFINITE LOOP

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)

Vice President

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

CUPERTINO,Â CAÂ 95014

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

12

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date
ExercisableExpiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Employee Stock Options	Â (1)	12/09/2010	Common Stock	6,250	\$ 10.225	D	Â
Employee Stock Options	Â (2)	02/04/2011	Common Stock	70,500	\$ 10.895	D	Â
Employee Stock Options	Â (3)	08/30/2012	Common Stock	75,000	\$ 46.57	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Stock	10,000	\$ (7)	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	75,000	\$ (7)	D	Â
Restricted Stock Units	Â (6)	Â (6)	Common Stock	50,000	\$ (7)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Forstall Scott J 1 INFINITE LOOP CUPERTINO,Â CAAÂ 95014	Â	Â	Â Vice President	Â

Signatures

/s/ Scott J.
Forstall

01/14/2008

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This Employee Stock Option was granted 12/9/2003 and is fully vested.
- (2) This Employee Stock Option was granted 2/4/2004 and vests over a four-year schedule at 6.25% per quarter.
- (3) This Employee Stock Option was granted 8/30/2005 and vests over a four-year schedule at 6.25% per quarter.
- (4) This RSU award was granted 8/30/2005 and vests 25% on each of the first four anniversaries of the grant date.
- (5) This RSU award was granted 7/19/2006 and vests 50% on each of the second and third anniversaries of the grant date.
- (6) This RSU award was granted 12/17/2007 and vests 100% on 3/24/2010.
- (7) On each vest date, Mr. Forstall is entitled to receive one share of common stock for each restricted stock unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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