APPLE INC Form 4 February 27, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person **
Riccio Daniel J.

(Street)

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

APPLE INC [AAPL]

3. Date of Earliest Transaction (Month/Day/Year)

02/25/2014

(Check all applicable)

____ Director ____ 10% Owner ____ Officer (give title ____ Other (specify below)

Senior Vice President

1 INFINITE LOOP

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

Person

CUPERTINO, CA 95014

| (City) | (State) | (Zip) Tak | ole I - Non- | -Derivativ | e Seci | ırities Acquir | red, Disposed of, | or Beneficiall | y Owned |
|--------------------------------------|---|---|---|------------|--------|--|--|---|---------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 02/25/2014 | | S(1) | 424 | D | \$ 521.7842 (2) | 5,704 | D | |
| Common Stock | 02/25/2014 | | S <u>(1)</u> | 200 | D | \$ 522.63 (3) | 5,504 | D | |
| Common Stock | 02/25/2014 | | S <u>(1)</u> | 400 | D | \$ 523.8575 (4) | 5,104 | D | |
| Common Stock | 02/25/2014 | | S <u>(1)</u> | 840 | D | \$ 524.9281 (5) | 4,264 | D | |

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| Common Stock | 02/25/2014 | S(1) | 600 | D | \$ 525.775 (6) | 3,664 | D |
|-----------------|------------|--------------|-----|---|-------------------|-------|---|
| Common Stock | 02/25/2014 | S <u>(1)</u> | 400 | D | \$ 527.07 (7) | 3,264 | D |
| Common Stock | 02/25/2014 | S <u>(1)</u> | 200 | D | \$ 528.73 (8) | 3,064 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Securi (Instr. | nt of lying | 8. Price of Derivative Security (Instr. 5) |
|---|---|--------------------------------------|---|---------------------------------------|---|---------------------|--------------------|---|--|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|-----------------------|-------|--|--|--|
| F | Director | 10% Owner | Officer | Other | | | |
| Riccio Daniel J. | | | | | | | |
| 1 INFINITE LOOP | | | Senior Vice President | | | | |

Signatures

CUPERTINO, CA 95014

/s/ Gene Levoff, Attorney-in-fact for Daniel J.
Riccio 02/27/2014

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 26, 2013.
- This transaction was executed in multiple trades at prices ranging from \$521.10 to \$522.02; the price reported above reflects the weighted (2) average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the Registrant, or a security holder of the Registrant.
- This transaction was executed in multiple trades at prices ranging from \$522.26 to \$523.00; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the Registrant, or a security holder of the Registrant.
- This transaction was executed in multiple trades at prices ranging from \$523.38 to \$524.35; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the Registrant, or a security holder of the Registrant.
- This transaction was executed in multiple trades at prices ranging from \$524.41 to \$525.35; the price reported above reflects the weighted (5) average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the Registrant, or a security holder of the Registrant.
- This transaction was executed in multiple trades at prices ranging from \$525.49 to \$526.37; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the Registrant, or a security holder of the Registrant.
- This transaction was executed in multiple trades at prices ranging from \$526.79 to \$527.38; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the Registrant, or a security holder of the Registrant.
- This transaction was executed in multiple trades at prices ranging from \$528.38 to \$529.08; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the Registrant, or a security holder of the Registrant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.