NewStar Financial, Inc. Form 8-K March 25, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of

The Securities Exchange Act of 1934

March 25, 2013

Date of Report (Date of earliest event reported)

NewStar Financial, Inc.

(Exact name of registrant as specified in its charter)

Delaware 001-33211 54-2157878

Edgar Filing: NewStar Financial, Inc. - Form 8-K

(State or other jurisdiction	(Commission	(IRS Employer
of incorporation)	File Number) 500 Boylston Street, Suite 1250, Boston, MA 02110	Identification No.)
	(Address of principal executive offices) (Zip Code)	
	(617) 848-2500	
	(Registrant s telephone number, including area code)	
	N/A	
(Fo	ormer name or former address, if changed since last repo	ort.)
Check the appropriate box below if the Form the following provisions:	8-K filing is intended to simultaneously satisfy the fil	ling obligation of the registrant under any of

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Edgar Filing: NewStar Financial, Inc. - Form 8-K

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

Effective March 25, 2013 and as previously disclosed, Robert Clemmens will retire from his position as Chief Credit Officer of NewStar Financial, Inc. (the Company). Daniel D. McCready will succeed Mr. Clemmens as Chief Credit Officer, and Mr. Clemmens will remain employed by the Company at least through May 2013 to facilitate the orderly transition of Mr. Clemmens duties to Mr. McCready.

On March 25, 2013, the Company issued a press release announcing Mr. Clemmens retirement from, and Mr. McCready s appointment to, the position of Chief Credit Officer. A copy of the press release is filed with this Current Report on Form 8-K as exhibit 99.1.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

99.1 Press release of NewStar Financial, Inc., dated March 25, 2013. Filed herewith.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NEWSTAR FINANCIAL INC.

Date: March 25, 2013

By: /s/ JOHN KIRBY BRAY

John Kirby Bray

Chief Financial Officer

EXHIBIT INDEX

Exhibit Number	Description
99.1	Press release of NewStar Financial, Inc., dated March 25, 2013. Filed herewith.