NewStar Financial, Inc. Form 8-K August 07, 2013

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of The Securities Exchange Act of 1934

August 7, 2013

**Date of Report (Date of earliest event reported)** 

## NewStar Financial, Inc.

(Exact name of registrant as specified in its charter)

#### Edgar Filing: NewStar Financial, Inc. - Form 8-K

of incorporation)

## File Number) 500 Boylston Street, Suite 1250, Boston, MA 02116

Identification No.)

(Address of principal executive offices) (Zip Code)

(617) 848-2500

(Registrant s telephone number, including area code)

N/A

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### ITEM 2.02. RESULTS OF OPERATIONS AND FINANCIAL CONDITION.

On August 7, 2013, NewStar Financial, Inc. issued a press release announcing results for the second quarter ended June 30, 2013. The press release is furnished herewith as Exhibit 99.1 to this Current Report on Form 8-K and incorporated herein by reference.

#### ITEM 9.01. FINANCIAL STATEMENTS AND EXHIBITS.

(d) Exhibits

99.1 Press Release of NewStar Financial, Inc. dated August 7, 2013.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

#### NEWSTAR FINANCIAL INC.

Date: August 7, 2013

By: /s/ JOHN KIRBY BRAY

John Kirby Bray

Chief Financial Officer

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#### EXHIBIT INDEX

Exhibit

No. Description

99.1 Press Release of NewStar Financial, Inc. dated August 7, 2013.