NewStar Financial, Inc. Form 8-K October 28, 2013

# **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

## Washington, D.C. 20549

#### FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of The Securities Exchange Act of 1934

October 25, 2013

Date of Report (Date of earliest event reported)

NewStar Financial, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction 001-33211 (Commission 54-2157878 (IRS Employer

of incorporation)

File Number)

**Identification No.)** 

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## 500 Boylston Street, Suite 1250, Boston, MA 02116

## (Address of principal executive offices) (Zip Code)

### (617) 848-2500

### (Registrant s telephone number, including area code)

#### N/A

### (Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

" Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

### Item 7.01 Regulation FD Disclosure.

On October 25, 2013, NewStar Financial, Inc. (the Company ) called the NewStar Trust 2005-1 (the 2005-1 CLO ) which was the term debt securitization completed in August 2005. At issuance, the 2005-1 CLO provided for a three-year reinvestment period and had an original maturity date of July 25, 2018. The 2005-1 CLO was called at par in accordance with its terms. The 2005-1 CLO was the second term debt securitization that the Company paid off in its entirety.

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

#### NEWSTAR FINANCIAL INC.

Date: October 28, 2013

By: /s/ JOHN KIRBY BRAY John Kirby Bray Chief Financial Officer

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