

NewStar Financial, Inc.  
Form ABS-15G  
February 14, 2014

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM ABS-15G**

**ASSET-BACKED SECURITIZER**  
**REPORT PURSUANT TO SECTION 15G OF**  
**THE SECURITIES AND EXCHANGE ACT OF 1934**

Check the appropriate box to indicate the filing obligation to which this form is intended to satisfy:

- ☒ **Rule 15Ga-1 under the Exchange Act (17 CFR 240.15Ga-1) for the reporting period July 1, 2012 to December 31, 2013**

**Date of Report (Date of earliest event reported) : February 14, 2014**

**Commission File Number of securitizer: 001-33211**

**Central Index Key Number of securitizer: 0001373561**

**NEWSTAR FINANCIAL, INC.**  
**(Exact name of securitizer as specified in its charter)**

**John Kirby Bray**

**Chief Financial Officer**

**617-848-2500**

**(Name and telephone number, including area code, of the person  
to contact in connection with this filing)**

Indicate by check mark whether the securitizer has no activity to report for the initial period pursuant to  
Rule 15Ga-1(c)(1) ☐

Indicate by check mark whether the securitizer has no activity to report for the quarterly period pursuant to  
Rule 15Ga-1(c)(2)(i) ☐

Indicate by check mark whether the securitizer has no activity to report for the annual period pursuant to  
Rule 15Ga-1(c)(2)(ii) ☒

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the reporting entity has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NewStar Financial, Inc.

(Securitizer)

Date February 14, 2014

/s/ John Kirby Bray  
John Kirby Bray  
Chief Financial Officer