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FORD MOTOR CO

Form 3

January 08, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

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Number: January 31, 2005

OMB APPROVAL

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement FORD MOTOR CO [F] Hettle Bruce W. (Month/Day/Year) 01/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE AMERICAN ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person DEARBORN, MIÂ 48126 (give title below) (specify below) Form filed by More than One Group Vice President Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock, \$0.01 par value 25,299 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)			3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			(Instr. 4)		Price of	Derivative	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:	
					Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
BEP Ford Stock Fund Units	(1)	(1)	Common Stock, \$0.01 par value	457 <u>(1)</u>	\$ <u>(1)</u>	D	Â
Employee Stock Option (Right to Buy)	(2)	03/04/2017	Common Stock, \$0.01 par value	7,862	\$ 7.55	D	Â
Employee Stock Option (Right to Buy)	(3)	03/10/2019	Common Stock, \$0.01 par value	37,251	\$ 1.96	D	Â
Employee Stock Option (Right to Buy)	(4)	03/02/2020	Common Stock, \$0.01 par value	14,919	\$ 12.69	D	Â
Employee Stock Option (Right to Buy)	(5)	03/02/2021	Common Stock, \$0.01 par value	9,734	\$ 14.76	D	Â
Employee Stock Option (Right to Buy)	(6)	03/04/2022	Common Stock, \$0.01 par value	11,879	\$ 12.46	D	Â
Employee Stock Option (Right to Buy)	(7)	03/03/2023	Common Stock, \$0.01 par value	20,934	\$ 12.75	D	Â
Employee Stock Option (Right to Buy)	(8)	03/03/2024	Common Stock, \$0.01 par value	20,867	\$ 15.37	D	Â
Ford Stock Units	(9)	(9)	Common Stock, \$0.01 par value	2,808	\$ <u>(9)</u>	D	Â
Ford Stock Units	(10)	(10)	Common Stock, \$0.01 par value	22,646	\$ (10)	D	Â
Ford Stock Units	(11)	(11)	Common Stock, \$0.01 par	8,265	\$ (11)	D	Â

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value

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hettle Bruce W.

ONE AMERICAN ROAD Group Vice President DEARBORN, MIÂ 48126

Signatures

Jerome F. Zaremba, Attorney-in-Fact 01/08/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These Ford Stock Fund Units were acquired under the Company's Benefit Equalization Plan. In general, these Ford Stock Fund Units

 (1) will be converted and distributed to me, without payment, in cash, following termination of employment, based on the then current price of a Ford Stock Fund Unit and the then current market value of a share of Common Stock.
- This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2007), 66% after two years, and in full after three years.
- (3) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/11/2009), 66% after two years, and in full after three years.
- (4) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2010), 66% after two years, and in full after three years.
- This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2011), 66% after two years, and in full after three years.
- This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2012), 66% after two years, and in full after three years.
- (7) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2013), 66% after two years, and in full after three years.
- (8) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2014), 66% after two years, and in full after three years.
- (9) These Ford Restricted Stock Units were acquired under the Company's 2008 Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 4, 2016.
- (10) These Ford Restricted Stock Units were acquired under the Company's 2008 Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 4, 2017.
- These Ford Restricted Stock Units were acquired under the Company's 2008 Long-Term Incentive Plan without payment by me. These (11) Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock to the extent of 33% after one year from the date of grant (03/04/2015), 66% after two years, and in full after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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