## Edgar Filing: MANITOWOC CO INC - Form 3

#### MANITOWOC CO INC

Form 3

January 04, 2016

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0104

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**OMB APPROVAL** 

**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* PENNYPACKER BARRY

(Last) (First) (Middle)

2400 S. 44TH STREET

(Street)

MANITOWOC, WIÂ 54220

(State)

1. Title of Security (Instr. 4)

(City)

Statement

(Month/Day/Year)

12/28/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

MANITOWOC CO INC [MTW]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Officer Other

(give title below) (specify below) President & CEO

5. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

Security

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Title

Amount or Number of Shares

Direct (D) or Indirect (I) (Instr. 5)

1

# **Reporting Owners**

Reporting Owner Name / AddressReporting Owner Name / AddressDirector10% OwnerOfficerOtherPENNYPACKER BARRY2400 S. 44TH STREET President & CEOÂMANITOWOC, WIÂ 54220

## **Signatures**

Maurice Jones, by Power of 01/04/2016 Attorney

\*\*Signature of Reporting Person

# **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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#### **Remarks:**

Power of Attorney attached.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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