#### Edgar Filing: NBT BANCORP INC - Form 5

NBT BANCORP INC Form 5 January 15, 2008 FORM 5

Check this box if

no longer subject

to Section 16.

5 obligations

may continue. See Instruction

1(b).

Reported

Form 4 Transactions Reported

(Last)

Form 4 or Form

#### **OMB APPROVAL** OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 January 31, Expires: 2005 Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL burden hours per **OWNERSHIP OF SECURITIES** response... 1.0 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer DIETRICH MARTIN A Symbol NBT BANCORP INC [NBTB] (Check all applicable) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) \_X\_ Director 10% Owner Other (specify \_\_X\_\_ Officer (give title 12/31/2007 below) below)

### **52 SOUTH BROAD STREET**

(Street)

#### NORWICH, NYÂ 13815

\_X\_ Form Filed by One Reporting Person \_ Form Filed by More than One Reporting Person

6. Individual or Joint/Group Reporting

President/CEO NBT Bancorp

(check applicable line)

(City)	(State)	(Zip) Tak	ole I - Non-De	rivative Sec	urities	Acqui	red, Disposed of	, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned at end	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
NBT				Amount	(A) or (D)	Price	of Issuer's Fiscal Year (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)
Bancorp Inc. Common Stock	12/31/2007	Â	J	486.051	A	\$ 0 (1)	48,968.301	D	Â
NBT Bancorp Inc. Common Stock	12/31/2007	Â	J	678.438	А	\$ 0 (2)	14,529.77	I	401k

4. If Amendment, Date Original

Filed(Month/Day/Year)

NBT Bancorp Inc. Common Stock	12/31/2007	Â	J	347.107	A	\$ 0 (3)	8,711.927	Ι	NBT Bancorp ESOP
NBT Bancorp Inc. Common Stock	Â	Â	Â	Â	Â	Â	755	I	Custodian for Minor
NBT Bancorp Inc. Common Stock	Â	Â	Â	Â	Â	Â	6,000	I	by Mother
NBT Bancorp Inc. Common Stock	Â	Â	Â	Â	Â	Â	848	Ι	by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and 4	ecu
					(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of
Non-Qualified Stock Option (right to buy)	\$ 14.3492	Â	Â	Â	ÂÂ	01/28/2003 <u>(4)</u>	01/28/2012	NBT Bancorp Inc. Common Stock	3
Non-Qualified Stock Option	\$ 16.227	Â	Â	Â	Â	08/03/2003 <u>(5)</u>	08/03/2011	NBT Bancorp	4

(right to buy)									Inc. Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 17.538	Â	Â	Â	Â	Â	01/01/2004(4)	01/01/2013	NBT Bancorp Inc. Common Stock	24
Non-Qualified Stock Option (right to buy)	\$ 20.5952	Â	Â	Â	Â	Â	01/26/2000(4)	01/26/2009	NBT Bancorp Inc. Common Stock	1:
Non-Qualified Stock Option (right to buy)	\$ 22.1715	Â	Â	Â	Â	Â	01/01/2005(4)	01/01/2014	NBT Bancorp Inc. Common Stock	2
Non-Qualified Stock Option (right to buy)	\$ 22.205	Â	Â	Â	Â	Â	02/11/2006 <u>(5)</u>	02/11/2014	NBT Bancorp Inc. Common Stock	3
Non-Qualified Stock Option (right to buy)	\$ 22.352	Â	Â	Â	Â	Â	01/01/2007(4)	01/01/2016	NBT Bancorp Inc. Common Stock	3
Non-Qualified Stock Option (right to buy)	\$ 23.2708	Â	Â	Â	Â	Â	01/20/2006 <u>(4)</u>	01/20/2015	NBT Bancorp Inc. Common Stock	2:
Non-Qualified Stock Option (right to buy)	\$ 24.4458	Â	Â	Â	Â	Â	08/01/2007 <u>(5)</u>	08/01/2015	NBT Bancorp Inc. Common Stock	6
Non-Qualified Stock Option (right to buy)	\$ 24.5692	Â	Â	Â	Â	Â	12/27/2009 <u>(5)</u>	12/27/2017	NBT Bancorp Inc. Common Stock	1:
Non-Qualified Stock Option (right to buy)	\$ 25.762	Â	Â	Â	Â	Â	01/01/2008(4)	01/01/2017		2

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>						
1 0	Director	10% Owner	Officer	Other		
DIETRICH MARTIN A 52 SOUTH BROAD STREET NORWICH, NY 13815	ÂX	Â	President/CEO NBT Bancorp	Â		
Signatures						
By: Michael J. Chewens, Power of Attorney For: Martin A. 01/15/2008 Dietrich						

<u>\*\*</u>Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Shares acquired under NBT Bancorp Dividend Reinvestment Plan during period of January 1, 2007 to December 31, 2007 at prices ranging from \$22.6543 to \$23.7832 per share.
- (2) Shares were purchased from 1/1/2007 through 12/31/2007 through NBT Bancorp Inc. 401k Plan.
- (3) Shares were purchased from 1/1/2007 through 12/31/2007 through NBT Bancorp Inc. ESOP Plan.
- (4) Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan grant vests 40% for first year, 20% annually for following years.
- (5) Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan reload grant vests 100% two years after date of its grant.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Common Stock