#### Edgar Filing: CHEWENS MICHAEL J - Form 5

CHEWENS MICHAEL J Form 5 February 02, 2010 FORM 5

#### **OMB APPROVAL** OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer CHEWENS MICHAEL J Symbol NBT BANCORP INC [NBTB] (Check all applicable) (Last) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify 12/31/2009 below) below) **52 SOUTH BROAD STREET** Sr EVP & CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting

### NORWICH, NYÂ 13815

\_X\_ Form Filed by One Reporting Person \_ Form Filed by More than One Reporting Person

(check applicable line)

(City)	(State)	(Zip) Tab	le I - Non-Dei	rivative Secu	ırities	Acquir	ed, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitie (A) or Disp (Instr. 3, 4) Amount	osed o	of (D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
NBT Bancorp Inc. Common Stock	12/31/2009	Â	J	474.451	A	\$ 0 (1)	13,074.248	I	401k
NBT Bancorp Inc. Common Stock	12/31/2009	Â	J	197.36	А	\$ 0 (2)	3,155.365	I	NBT Bancorp ESOP

Filed(Month/Day/Year)

NBT Bancorp Inc. Â Â Â Â Â Â Â 16,400 D Â Common Stock	
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Numl of Deriv Secur Acqu (A) o Dispo of (D (Instr 4, and	vative rities ired r osed ) :. 3,	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and 4	lecu
					(A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of
Non-Qualified Stock Option (right to buy)	\$ 20.3617	Â	Â	Â	Â	Â	01/15/2009 <u>(3)</u>	01/15/2018	NBT Bancorp Inc. Common Stock	1
Non-Qualified Stock Option (right to buy)	\$ 22.1715	Â	Â	Â	Â	Â	01/01/2005 <u>(3)</u>	01/01/2014	NBT Bancorp Inc. Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 22.352	Â	Â	Â	Â	Â	01/01/2007 <u>(3)</u>	01/01/2016	NBT Bancorp Inc. Common Stock	2:
Non-Qualified Stock Option (right to buy)	\$ 23.2708	Â	Â	Â	Â	Â	01/20/2006 <u>(3)</u>	01/20/2015	NBT Bancorp Inc. Common Stock	1'
Non-Qualified Stock Option	\$ 25.38	Â	Â	Â	Â	Â	01/15/2010(4)	01/15/2019		1

SEC 2270

(9-02)

(right to buy)									Inc. Common Stock
Non-Qualified Stock Option (right to buy)	\$ 25.762	Â	Â	Â	Â	Â	01/01/2008 <u>(3)</u>	01/01/2017	NBT Bancorp Inc. Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
CHEWENS MICHAEL J 52 SOUTH BROAD STREET NORWICH, NY 13815	Â	Â	Sr EVP & CFO	Â				
Signatures								
Michael J 02/0	2/2010							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were purchased from 1/1/2009 through 12/31/2009 through NBT Bancorp Inc. 401k Plan.
- (2) Shares were purchased from 1/1/2009 through 12/31/2009 through NBT Bancorp Inc. ESOP Plan.
- (3) Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan grant vests 40% for first year, 20% annually for following years.
- (4) Pursuant to NBT Bancorp Inc. 2009 Omnibus Stock Option Agreement the grant vests 40% for first year, 20% annually for following years.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.