## Edgar Filing: NBT BANCORP INC - Form 4

NBT BANCO Form 4 January 24, 2												
FORM											PPROVAL	
	UNITED	STATES				ND EXC D.C. 205		NGE	COMMISSION	OMB Number:	3235-0287	
Check this if no long subject to Section 10 Form 4 or Form 5	er <b>STATEN</b> 6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							Expires: Estimated burden hou	January 31		
obligation may conti <i>See</i> Instru 1(b).	inue. Section 17	(a) of the		ility H	oldi	ing Com	pany	Act c	of 1935 or Sectio	n		
(Print or Type R	Responses)											
1. Name and Address of Reporting Person <u>*</u> PRENTICE F SHELDON			2. Issuer Name and Ticker or Trading Symbol NBT BANCORP INC [NBTB] 5. Relationship of Issuer						of Reporting Person(s) to			
(Last)	(First) (	Middle)	3. Date of			-	נסוכ		(Chec	k all applicabl	e)	
52 SOUTH BROAD STREET			(Month/Day/Year) 01/22/2014						Director 10% Owner X Officer (give title Other (specify below) below) EVP,General Counsel,Sec'y			
	(Street)		4. If Amer Filed(Mont			e Original			6. Individual or Jo Applicable Line) _X_ Form filed by (	One Reporting P	erson	
NORWICH,	NY 13815								Form filed by M Person	nore than One R	eporting	
(City)	(State)	(Zip)	Table	e I - Nor	n-De	erivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	) Executi any	emed on Date, if /Day/Year)	3. Transa Code (Instr.		4. Securi nAcquired Disposed (Instr. 3,	l (A) c l of (D	)	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)		
NBT				Code	v	Amount		Price	(Instr. 3 and 4)			
Bancorp Inc. Common Stock	01/22/2014			А		2,555 (1)	A	\$ 0	20,102	D		
NBT Bancorp Inc. Common Stock									1,167.327	I	401k	
NBT Bancorp									420.743	Ι	NBT Bancorp	

Inc. Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Non-Qualified Stock Option (right to buy)	\$ 24.47					05/01/2011 <u>(2)</u>	05/01/2020	NBT Bancorp Inc. Common Stock	10,0
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## **Reporting Owners**

Reporting Owner Name / AddressRelationshipsDirector10% OwnerOfficerOtherPRENTICE F SHELDON<br/>52 SOUTH BROAD STREET<br/>NORWICH, NY 13815Lever SubsciencesEVP,General Counsel,Sec'sSignatures<br/>F. SheldonLever SubsciencesLever SubsciencesLever Subsciences

Prentice 01/24/2014 <u>\*\*Signature of</u> Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to NBT Bancorp Inc. Omnibus Restricted Stock Unit Agreement the grant vests 40% for first year, 20% annually for following years.
- (2) Pursuant to NBT Bancorp Inc. Omnibus Stock Option Agreement the grant vests 40% for first year, 20% annually for following years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.