#### **NBT BANCORP INC**

Form 4

February 12, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * LEVY JEFFREY M            |  |                    | 2. Issuer Name and Ticker or Trading Symbol NBT BANCORP INC [NBTB] |                 |   |                            |            | 5. Relationship of Reporting Person(s) to Issuer   |  |   |  |
|---|--|--------------------|--|-----------------|---|----------------------------|------------|--|--|---|--|
| (Last)  | (First)  | (Middle)           | 3. Date of Earliest Transaction                                    |                 |   |                            |            | (Check all applicable)   |  |   |  |
| 52 SOUTH BROAD STREET   |  |                    | (Month/Day/Year) 02/10/2015  |                 |   |                            |            | Director 10% Owner Officer (give title Other (specify below) below)  EVP/ Pres Commercial Banking    |  |   |  |
| (Street)  |  |                    | 4. If Amendment, Date Original                                     |                 |   |                            |            | 6. Individual or Joint/Group Filing(Check  |  |   |  |
| NORWICH, NY 13815   |  |                    | Filed(Month/Day/Year)  |                 |   |                            |            | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |   |  |
| (City)  | (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                    |  |                 |   |                            |            |  |  |   |  |
| 1.Title of<br>Security<br>(Instr. 3)  NBT Bancorp Inc. Common Stock | 2. Transaction (Month/Day/Y  | ear) Execut<br>any | emed<br>ion Date, if<br>//Day/Year)                                | Code (Instr. 8) | 4. Secur on Acquired Disposed (Instr. 3, Amount 4,934 | d (A) of (I), 4 and (A) or | <b>)</b> ) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)        | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
| NBT<br>Bancorp<br>Inc.<br>Common<br>Stock                           |  |                    |  |                 |   |                            |            | 5,110.218  | I  | 401k  |  |
| NBT<br>Bancorp  |  |                    |  |                 |   |                            |            | 1,080.335  | I  | NBT<br>Bancorp  |  |

#### Edgar Filing: NBT BANCORP INC - Form 4

Inc. ESOP

Common Stock

1. Title of

2.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3. Transaction Date 3A. Deemed

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SEC 1474

(9-02)

6. Date Exercisable and

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| Derivative<br>Security<br>(Instr. 3)            | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year) | Execution Date, if<br>any<br>(Month/Day/Year) | TransactionNumber Code of (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         | (Month/Day/Year) |                    | Underlying Securities (Instr. 3 and 4)    |                              |
|---|---|------------------|---|---|---------|------------------|--------------------|---|------------------------------|
|   |   |                  |   | Code V  | (A) (D) | Date Exercisable | Expiration<br>Date | Title                                     | Amou<br>or<br>Numb<br>of Sha |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 20.19  |                  |   |   |         | 01/15/2011(2)    | 01/15/2020         | NBT<br>Bancorp<br>Inc.<br>Common<br>Stock | 18,00                        |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 20.3617  |                  |   |   |         | 01/15/2009(3)    | 01/15/2018         | NBT<br>Bancorp<br>Inc.<br>Common<br>Stock | 7,50                         |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 22.352   |                  |   |   |         | 01/01/2007(3)    | 01/01/2016         | NBT<br>Bancorp<br>Inc.<br>Common<br>Stock | 5,00                         |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 25.38  |                  |   |   |         | 01/15/2010(2)    | 01/15/2019         | NBT<br>Bancorp<br>Inc.<br>Common<br>Stock | 13,00                        |
| Non-Qualified<br>Stock Option                   | \$ 25.762   |                  |   |   |         | 01/01/2008(3)    | 01/01/2017         | NBT<br>Bancorp                            | 9,00                         |

7. Title and Amount

(right to buy)

Inc.
Common
Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LEVY JEFFREY M 52 SOUTH BROAD STREET NORWICH, NY 13815

**EVP/ Pres Commercial Banking** 

### **Signatures**

By: F. Sheldon Prentice, Power of Attorney For: Jeffrey M. Levy

02/12/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to NBT Bancorp Inc. Omnibus Restricted Stock Unit Agreement the grant vests 20% annually.
- (2) Pursuant to NBT Bancorp Inc. Omnibus Stock Option Agreement the grant vests 40% for first year, 20% annually for following years.
- (3) Pursuant to NBT Bancorp Inc. 1993 Stock Option Plan grant vests 40% for first year, 20% annually for following years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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