

NBT BANCORP INC

Form 4

November 23, 2015

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DELANEY TIMOTHY E

(Last) (First) (Middle)

52 SOUTH BROAD STREET

(Street)

NORWICH, NY 13815

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
NBT BANCORP INC [NBTB]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/20/2015

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
NBT Bancorp Inc. Common Stock	11/20/2015		M	500	A \$ 22.5508	52,212.719	D
NBT Bancorp Inc. Common Stock	11/20/2015		S	500	D \$ 29.8798	51,712.719	D
NBT Bancorp	11/20/2015		M	500	A \$ 22.4842	52,212.719	D

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Inc.  
Common  
Stock

NBT  
Bancorp  
Inc.  
Common  
Stock

11/20/2015

S

500

D

\$  
29.8798

51,712.719

D

NBT  
Bancorp  
Inc.  
Common  
Stock

11/20/2015

M

500

A

\$ 21.74

52,212.719

D

NBT  
Bancorp  
Inc.  
Common  
Stock

11/20/2015

S

500

D

\$  
29.8798

51,712.719

D

NBT  
Bancorp  
Inc.  
Common  
Stock

11/20/2015

M

167

A

\$  
22.3842

51,879.719

D

NBT  
Bancorp  
Inc.  
Common  
Stock

11/20/2015

S

167

D

\$  
29.8798

51,712.719

D

NBT  
Bancorp  
Inc.  
Common  
Stock

270.801

I

Spouse as  
custodian

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)
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Security			(A) or Disposed of (D) (Instr. 3, 4, and 5)		Code	V	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 21.74	11/20/2015	M	500	05/01/2007 <sup>(1)</sup>		05/01/2016		NBT Bancorp Inc. Common Stock	50
Non-Qualified Stock Option (right to buy)	\$ 22.3842	11/20/2015	M	167	02/13/2007 <sup>(1)</sup>		02/13/2016		NBT Bancorp Inc. Common Stock	16
Non-Qualified Stock Option (right to buy)	\$ 22.4842	11/20/2015	M	500	05/01/2008 <sup>(1)</sup>		05/01/2017		NBT Bancorp Inc. Common Stock	50
Non-Qualified Stock Option (right to buy)	\$ 22.5508	11/20/2015	M	500	05/01/2009 <sup>(1)</sup>		05/01/2018		NBT Bancorp Inc. Common Stock	50

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DELANEY TIMOTHY E 52 SOUTH BROAD STREET NORWICH, NY 13815			X	

## Signatures

By: F. Sheldon Prentice For: Timothy  
Delaney 11/23/2015

                    \*\*Signature of Reporting Person

                    Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Pursuant to NBT Non-Employee Director, Divisional Director and Subsidiary Director Stock Option Plan grant vests 40% for first year, 20% annually for following years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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