GAMCO INVESTORS, INC. ET AL

Form 4

December 05, 2014

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Van der Eb Henry Issuer Symbol GAMCO INVESTORS, INC. ET AL (Check all applicable) [GBL] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 100 CORPORATE NORTH, SUITE 12/05/2014 Senior Vice President 201 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting BANNOCKBURN, IL 60015 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Class A S Common 12/05/2014 1,028 2,000 D D 88.199 Stock Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

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| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.                     | 6. Date Exerc       |                    | 7. Title         |            | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------------------|---------------------|--------------------|------------------|------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber               | ber Expiration Date |                    | Amount of        |            | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of                     | (Month/Day/         | Year)              | Underl           | ying       | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative             | e                   | Securities         |                  | (Instr. 5) | Bene        |        |
|             | Derivative  |                     |                    |            | Securities<br>Acquired |                     |                    | (Instr. 3 and 4) |            |             | Owne   |
|             | Security    |                     |                    |            |                        |                     |                    |                  |            |             | Follo  |
|             | ·           |                     |                    |            | (A) or                 |                     |                    |                  |            | Repo        |        |
|             |             |                     |                    |            | Disposed               |                     |                    |                  |            |             | Trans  |
|             |             |                     |                    |            | of (D)                 |                     |                    |                  |            |             | (Instr |
|             |             |                     |                    |            | (Instr. 3,             |                     |                    |                  |            |             |        |
|             |             |                     |                    |            | 4, and 5)              |                     |                    |                  |            |             |        |
|             |             |                     |                    |            | , )                    |                     |                    |                  |            |             |        |
|             |             |                     |                    |            |                        |                     |                    |                  | Amount     |             |        |
|             |             |                     |                    |            |                        | Date<br>Exercisable | Expiration<br>Date |                  | or         |             |        |
|             |             |                     |                    |            |                        |                     |                    | Title            | Number     |             |        |
|             |             |                     |                    |            |                        |                     |                    |                  | of         |             |        |
|             |             |                     |                    | Code V     | (A) (D)                |                     |                    |                  | Shares     |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Van der Eb Henry 100 CORPORATE NORTH SUITE 201 BANNOCKBURN, IL 60015

Senior Vice President

## **Signatures**

/s/ Henry Van der Eb 12/05/2014

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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