GAMCO INVESTORS, INC. ET AL

Form 4 March 31, 2016

## FORM 4

#### OMB APPROVAL

**OMB** 

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

Number:

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005 Estimated average

3235-0287

burden hours per response... 0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

GAMCO INVESTORS, INC. ET AL

See Instruction 30(h) of the Investment Compan

Symbol

[GBL]

1(b).

(Print or Type Responses)

GABELLI MARIO J

1. Name and Address of Reporting Person \*

(Last) (First) (Middle)  C/O GAMCO INVESTORS, INC, ONE CORPORATE CENTER			3. Date of Earliest Transaction (Month/Day/Year) 03/30/2016					_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) Chairman & CEO		
(Street)  RYE, NY 10580			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Ac	equired, Disposed	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Execution		3. Transactic Code (Instr. 8)	4. Securion onAcquired Disposed (Instr. 3,	ties I (A) of I of (D 4 and  (A) or	or O)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	•
Class A Common Stock	03/30/2016			G	2,000	D	\$0	33,000	I	By: GGCP, Inc.
Class A Common Stock								4,393,055	I	By: Gabelli Securities, Inc.
Class B Common Stock								18,373,741 (1)	I	By: GGCP Holdings, LLC (2)
Class B								463,295 (1)	D	

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. DriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,			Amour Under Securi	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
reporting of more runner, reduced	Director	10% Owner	Officer	Other				
GABELLI MARIO J C/O GAMCO INVESTORS, INC ONE CORPORATE CENTER RYE, NY 10580	X	X	Chairman & CEO					
GGCP, INC. 140 GREENWICH AVENUE GREENWICH, CT 06830		X						
GGCP Holdings LLC 140 GREENWICH AVENUE GREENWICH, CT 06830		X						

### **Signatures**

/s/ Douglas R. Jamieson, Attorney-In-Fact for MARIO J. GABELLI, GGCP, INC., and GGCP HOLDINGS, LCC

03/31/2016

(9-02)

\*\*Signature of Reporting Person

Date

Reporting Owners 2

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to a resolution approved by the Issuer's Board of Directors, as of the date of this filing, there are 682,618 shares of Class B Stock that can be converted into Class A Stock.
  - These shares of the Issuer's Class B Stock are held by GGCP Holdings, LLC ("Holdings") via GGCP, Inc. ("GGCP"). The Reporting Person may be deemed to have beneficial ownership of the Class B Stock held by Holdings on the basis of (i) his position as the Chief
- (2) Executive Officer of, a director of, and the controlling shareholder of, GGCP, which is the manager and a majority member of Holdings, and (ii) certain profit interests in Holdings. The Reporting Person disclaims any beneficial ownership of Class B Stock held by Holdings except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.